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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2811  
Examiner: Unassigned

In Re PATENT APPLICATION Of:

Applicant(s): Akio NAKAMURA

Serial No.: 10/712,090

Filed: November 14, 2003

For: SEMICONDUCTOR DEVICE AND  
ITS MANUFACTURING METHOD

Atty Ref.: SAT 198

)  
)  
) **INFORMATION**  
) **DISCLOSURE**  
) **STATEMENT AND**  
) **CERTIFICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is an Information Disclosure Statement submitted in compliance with the timing requirements of 37 C.F.R. § 1.97(b)(3), i.e., prior to a First Office Action on the merits.

Attached are copies of five Japanese patent publications, which were cited in the attached Japanese Office Action dated June 15, 2004, and from which any relevance of the publications may be gleaned. The Action was issued by the Japanese Patent Office in connection with a Japanese counterpart application and discusses these publications. Also attached are English-language abstracts of the publications, from which any further relevance of the documents may be gleaned. The publications are listed on the attached Form PTO-1449.

It is hereby certified that each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a

counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Since this Information Disclosure Statement is being filed before a first office action on the merits, no fee is required, and the requirements of 37 C.F.R. §§ 1.97 and 1.98 are deemed to be fully met as to all listed documents. Consideration of the listed documents is respectfully requested.

Respectfully submitted,



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September 13, 2004  
Date

RHB:vm

**FEE ENCLOSED:\$0**  
Please charge any further  
fee to our Deposit Account  
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<b>FORM PTO-1449</b> <b>INFORMATION DISCLOSURE STATEMENT</b>	Atty. Docket  SAT 198	Application No.  10/712,090
	Applicant Akio NAKAMURA	
	Filing Date November 14, 2003	Group 2811

**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub-Class	Translation
	AI	2002-198466	07/12/02	JAPAN			Abstract
	AJ	2001-118948	04/27/01	JAPAN			Abstract
	AK	9-148489	06/06/97	JAPAN			Abstract
	AL	7-45746	02/14/95	JAPAN			Abstract
	AM	2002-314028	10/25/02	JAPAN			Abstract
	AN						

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

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Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.